AFRICAN CIVIL AVIATION COMMISSION
30th AFCAC PLENARY SESSION
(LIVINGSTONE, ZAMBIA, 4 – 5 DECEMBER 2018)

Agenda Item 6:   **Inputs for 2019-2021 AFCAC Triennium Activities**

Session 3:   **AVIATION SECURITY AND FACILITATION**

Theme: **PROGRESS, CHALLENGES AND WAY FORWARD ON THE IMPLEMENTATION OF WINDHOEK TARGETS AND DGCA'S DECISIONS AND REGIONAL INITIATIVES FOR ENHANCEMENT OF AVIATION SECURITY AND FACILITATION IN AFRICA.**

IMPLEMENTATION STATUS OF THE WINDHOEK TARGETS FOR AVIATION SECURITY AND FACILITATION

(Presented by the Secretariat)

SUMMARY
This Paper reports on the status of the implementation of the Windhoek Targets for Aviation Security and Facilitation, by African member States. It highlights initiatives and activities undertaken through the approved mechanisms, to support States in implementing Annex 17 and security related provisions of Annex 9. The paper highlights also the actions taken to implement these Targets and informs the Plenary on developments relating to the activities conducted by all Stakeholders involved in Aviation Security and Facilitation on the Continent. **Action Required:-** The Plenary is requested to take action on paragraph 4 of this Working Paper and the attached draft RESOLUTION

1.   INTRODUCTION

1.1.   Pursuant to the meeting of Ministers responsible for Civil Aviation Security and Facilitation in Africa held in Windhoek, Republic of Namibia from 4 to 7 April 2016 on the occasion of the Ministerial Conference on aviation security and facilitation in Africa, jointly organized by the International Civil Aviation Organization (ICAO) and the African Civil Aviation Commission (AFCAC) under the auspices of the African Union Commission (AUC), the Ministers adopted the Aviation Security and Facilitation Declaration and set Targets to enhance aviation security and facilitation in Africa in a sustainable manner.

1.2.   The Ministers further called on African States to provide resources and support the implementation of the ICAO AFI SECFAL Plan and requested the AUC to submit the Declaration to the next Assembly of Heads of State and Government of the AU, which was endorsed and approved during its January 2017 Summit.

1.3.   The Heads of State and Government of the African Union endorsed the Declaration and related Targets on Aviation Security and Facilitation in Africa and instructed AFCAC to monitor and report status of their implementation.

2.   DISCUSSION

2.1.   The above-mentioned Ministerial Conference recognized the importance of ICAO policies in supporting the development of air transport and economic growth thus adopted a Declaration that includes ensuring political commitment at national, regional and continental levels for aviation security and facilitation among others, and endorsed fifteen aviation security and facilitation Targets for Africa.

2.2.   AFCAC has been requesting States to provide updates on the status of implementation of these Targets, jointly reviewed by the Secretariat of ICAO and Steering Committee of the AFI-SECFAL Plan, with the assistance of the Regional Aviation Security and Facilitation Group (RASFALG-AFI) on a regular basis.

2.3.   The 6th meeting of the Directors General of the Civil Aviation (DGCA6) held from 02-04 November 2016 in Brazzaville, Congo adopted the following priority actions in order to expedite implementation of the Targets, for member States to reach the Global average on the effective implementation (EI) of the Critical Elements:

a)   States to develop national action plans for the implementation of the Windhoek security and facilitation targets;
b)   States to improve their EI;
c)   States to resolve all existing Significant Security Concerns (SSeCs);
d)   States to implement TRIP strategy; and
e)   States to ratify relevant aviation security international legal instruments.

2.4.   The implementation status of the Windhoek Targets is attached to this Working Paper.

3.   CONCLUSION

3.1.   The regional approach to enhance aviation security and facilitation in Africa as conducted since the establishment of the ICAO AFI SECFAL Plan and the adoption of the Windhoek Declaration should be encouraged.

3.2.   The collaboration between AFCAC and ICAO, including joint planning of assistance missions, resource optimization and capacity building for the benefit of member States, has been very successful so far to ensure the on-going implementation of Windhoek Targets.

3.3.   The reinforcement of the roster of Experts through establishment of Cooperative Scheme of Aviation Security and Facilitation Experts (AFI-CES) is strongly believed to enhance assistance to Sates in the implementation of the Windhoek Targets and recommended actions of the 6th meeting of the Directors General of the Civil Aviation (DGCA6).

4.   ACTION BY THE PLENARY:

4.1.   The Plenary is invited to:

a)   note the content of the Working Paper and progress made in the implementation of the Windhoek Targets;

b) request all member State to continue with the implementation and provide an update on actions taken, to meet the objectives of the Windhoek Declaration and set Targets; and

c) invite member States in need of technical assistance to take advantage of the Team of designated Experts of the Cooperative Scheme of Aviation Security and Facilitation Experts(AFI-CES), through AFCAC.

Work Plan of the Regional Aviation Security Group (RASFALG-AFI) for the Implementation of the Windhoek Targets

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
TARGET: 1 **A minimum of fifty percent of the African States achieve at least 70% which is commensurate with the global average of EI of the CEs by 2017, 75% of the States achieve this by 2020 and all African States by end of 2023.**	a) Analyse Action Plans developed and submitted by States to ensure regional targets are met.	Second quarter of 2018	None	AFCAC/ICAO	Work in progress. • Member States were notified by means of a State Letter to develop and submit Action Plans to ICAO. • Two States (ESAF & WACAF regions) are yet to sign the MoU for USAP-CMA. • Analysis of results of audited AFI States was conducted by ICAO to identify common deficiencies, with 56.9% average. • Member States were requested to submit implementation status on the implementation of Windhoek Targets, with subsequent reminders. • Twenty (20) States have thus far responded on the questionnaire. *(Botswana, Burkina Faso, Cabo Verde, Equatorial Guinea, Gambia, Ghana, Guinea-Bissau, Kenya, Mali, Mozambique, Nigeria, Senegal, South Africa, Swaziland, Tanzania, Togo, Tunisia, Uganda, Zambia & Zimbabwe)*	• States to develop and submit Action Plans and update ICAO on status of implementation. • ICAO to continue to remind States to submit Action Plans and status of implementation thereto. • ICAO will assist States in the development of Action Plans. • AFCAC to continue to update database for needs analysis. • Deployment of technical assistance according to States' needs. • Assistance mission will be conducted in March 2019 for the implementation of the Corrective Action Plan, as

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
					• Six (6) States have signed MoU with AFCAC on the use of Experts under RASFALG-AFI *(Benin, Botswana, Gabon, Guinea-Bissau, Nigeria & Togo)*. • AFCAC developed database based on States' responses to ascertain States' need and deploy assistance accordingly. • AFCAC reminded those States which were recently audited under the USAP-CMA and those scheduled for audits, to benefit from the RASFALG-AFI team of Experts for preparation of the Audits. • One (1) State responded positively and AFCAC conducted a mission to identify areas for Technical Assistance. • Technical assistance is provided in the following order of priorities, as endorsed by the 7th AFI SECFAL Plan SC meeting: *Priority 1: States with SSeCs;* *Priority 2: States identified for the USAP-CMA;* *Priority 3: States who have been audited under USAP-CMA, with low level of compliance;*	agreed with the State concerned.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
					Priority 4: States with identified deficiencies or who require technical assistance; and *Priority 5: States who have not responded to AFCAC Questionnaires.*	

TARGET: 2
As a matter of urgency, appropriate action is immediately taken to address all existing Significant Security Concerns (SSeCs) in the region and any new SSeCs are resolved within 3 months after notification by ICAO.
	a) Launch the implementation of assistance to States with SSeCs.	Within 3 months of notification	None	ICAO/ AFCAC	Partly achieved.
					Currently two (2) member States have been identified with SSeCs:
					
					a) **One (1) State has one (1) SSeC** - Action taken:
					• Two (2) joint AFCAC/ICAO missions were previously conducted;
					• August 2018: One (1) AFCAC mission was subsequently conducted and two (2) areas were identified for urgent intervention.
					
					b) **One (1) State with five (5) SSeCs** – Action taken:
					• September 2018: Joint High Level Mission was conducted by AFCAC/ICAO in September 2018, where a Tactical Plan was developed on the urgent actions to resolve the SSeCs, in 5 Phases.
						• States with SSeCs and those providing AVSEC Experts of RASFALG to notify AFCAC and sign MoU prior to any engagement.
						
						• Technical assistance will be deployed to the 2nd State with SSeC, upon conclusion of the MoU.
						
						• Continuous implementation of the Tactical Plan, to resolve all 5 SSeCs within the agreed timeframe:
						  o 6-14/12/2018: 2xExperts - 7-Day AVSEC Instructors Training Course;
						  o 10-14/12/2018: 3xExperts AFCAC & ICAO - Infrastructure & Operational Review, Follow up on the

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
					- MoU was concluded between AFCAC and the State concerned. 22-26/10/2018: 2xRASFALG-AFI Experts - 5-day Review of High Level documentation (NCASP & ASP); 05-09/11/2018: 2xExperts (RASFALG-AFI & ICAO) - 5-Day AVSEC STP 123 Basic Training; 7-9/11/2018: 1xICAO Expert - 3-Day AVSEC equipment & infrastructure review; 11-22/11/2018: 3xUEMOA Experts - 10-day Drafting of the Standard Operating Procedures and Operational materials; 12-16/11/2018: 2xExperts (ERNAM & ICAO) - 5-Day X-ray image interpretation training for cabin and hold baggage screeners; 19-23/11/2018: 2 Experts (RASFALG-AFI & ICAO) - 5-Day Quality Control Workshop; 26/11 to 04/12/2018: 2 Experts (RASFALG-AFI & ICAO) - 7-Day AVSEC Inspectors Training Course; - Reports on Technical Assistance rendered so far, have been submitted to AFCAC and ICAO, indicating successful missions.	accomplishments in addressing the SSeCs. States with SSeC to notify AFCAC and sign MoU as a matter of urgency.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
TARGET 3: **Appropriate Authorities with clearly defined roles and sustainable resources are designated to carry out oversight functions of Aviation Security and Facilitation in at least 50% of African States by 2017 and all African States by the end of 2020.**	a) Evaluate the progress of establishment of appropriate authorities and promote advocacy for political commitment at the States level. b) Obtain the list of States with Appropriate Authorities and States that have not yet established appropriate authorities. c) States that have not yet established appropriate authorities should seek guidance. d) USAP audit reports should be analysed by ICAO and shared with AFCAC for	By 30/08/2016 2016-2020	None	States AFCAC ICAO	• The States, which are yet to respond to the questionnaire, and those who reported "Work in Progress" on the establishment of appropriate authorities, have been notified of availability of technical assistance through RASFALG-AFI team of Experts. • Five (5) States need guidance.	Assistance will be provided based on States' needs & requests.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
	statistical evidence on States performance.					
TARGET: 4 **By 2017, all States have the following written and approved National Programmes: National Civil Aviation Security Programme (NCASP), National Civil Aviation Security Quality Control Programme (NCASQCP), National Civil Aviation Security Training Programme (NCASTP) and National Air Transport Facilitation Programme (NATFP).**	a) Provide AVSEC and FAL assistance needs based on States' requests.	Upon State request/ Last quarter of 2018	None	AFCAC/ ICAO	Done • States yet to complete and submit questionnaires were reminded to do so. • 70.9% responses to date. (39 out of fifty-five 55 States) • From the responses received, eighteen (18) States do not have approved National Air Transport Facilitation Programmes. • One (1) request was received for assistance in the development of National Programmes, and assistance was provided accordingly. • States were also reminded through emails and telephone calls individually.	• The remaining 29.1% target to be reached through robust interventions with Focal Persons of States which have not yet responded to the questionnaire. • AFCAC to continue to remind States on questionnaires, consolidate technical needs of each State and coordinate for technical assistance.

	b) Organize and conduct training on the development of Security and Facilitation Programmes.	Third quarter of 2018	ASTCs	AFCAC/ICAO	Done.
					 • ICAO Facilitation Implementation Seminars were held as follows:
					    ○ *Dakar, Senegal, 5-8 March 2018, with overwhelming attendance;*
					    ○ *Cairo, Egypt, 12-15 March 2018.*
						• More workshops/training will be considered based on the outcomes of the survey and States requests.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
					• ICAO FAL section has completed the development of Basic Training on Facilitation.	
TARGET 5: **States establish functional National Civil Aviation Security Committees (NCASC) and National Civil Air Transport Facilitation Committees (NATFC) by the end of 2020.**	a) Determine the number of States that have established functional NCASC and NATFC. b) Provide support, training, guidance materials, manuals, scheduled training for establishment of National and Airport SECFAL Committees.	2016-2020	None	States ICAO AFCAC	Done. • Reminders were sent out to States which are yet to complete and submit questionnaires. • 70.9% responses to date. (39 out of fifty-five 55 States): • From the responses received, eleven (11) States are yet to establish National Air Transport Facilitation Committees. • One (1) request received for assistance in this area, which was duly provided. • Guidance needed: ○ NCASC = 4 States ○ NATFC = 7 States.	• States in need of assistance to take advantage of the availability of technical assistance through the RASFALG-AFI team of Experts.
TARGET: 6 **Security risk management processes, which take into account ICAO's Risk Context Statement and crisis response procedures, are**	a) Organize a workshop on Risk Management and Crisis Management procedures.	Second quarter of 2018	Partner States and Organisations	AFCAC	Partly achieved. • 20 States are compliant. • Workshop held in February 2017. • ACI available to conduct workshop early in 2019.	• AFCAC will organize similar workshops based on States needs or requests, in collaboration with Partners. • AFCAC to sign an MoU with ACI for the 3-day Risk Management Workshop.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
established in all States by the end of 2020.	b) Organize/ conduct at least one workshop on Risk Management, and one Crisis Management course.	First quarter of 2018	None	ICAO/AFCAC	Achieved. The following Courses and Workshops were held at various regions: *Crisis Management Courses:* • 30 Oct - 03 Nov 2017 Dakar, Senegal; • 26 - 30 March 2018 Nairobi, Kenya. *Risk Management Workshops:* • 07-10 Nov 2017 Dakar, Senegal; • 20-23 March 2018 Nairobi, Kenya; • 26-28 June 2018, Dakar, Senegal(Train-the-trainer); • 16-21 Sept 2018 Nairobi, Kenya (Train-the-Trainer); • 8-12 October 2018 Freetown, Sierra Leonne.	• AFCAC and ICAO will continue to organize similar workshops based on requests.
TARGET 7: **All States join the ICAO Aviation Security Point of Contact (PoC) network by the end of 2017.**	a) Provide guidance and encourage member States who have not joined the AVSEC Point of Contact network. b) Compile periodic reports by ICAO Regional Offices on participation in PoC network.	2016-2017	None	States ICAO AFCAC	Work in progress. • 28 September 2018: ICAO WACAF tested the system and discovered that many States need to update their PoC. • According AFCAC survey results, 14 States on PoC network, 11 of which continuously provide update to ICAO. • States were reminded by AFCAC to designate Focal Persons/PoC so as to facilitate speedy response.	• On-going implementation of ICAO project plan to update PoC database.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
	c) States should provide updated PoCs list to ICAO and AFCAC.					
TARGET 8: **All States develop appropriate policies for the attraction, development and retention of human resources by the end of 2017.**	a) Establish awareness programmes on the common civil aviation policy (AFCAP) and in particular on the need to develop human resource plan which motivates professionals, promote best practice, transparency, fairness and merit in the management of aviation security professionals. b) States to domesticate or internalize AFCAP.	2016-2017	AUC	States AFCAC ICAO	In progress. • 16 States need support in this area. • Calls for domestication of the Common African Civil Aviation Policy (AFCAP) by States.	• States to establish awareness programmes on AFCAP.
TARGET 9:	a) Implement recommendations	2016-2023	ASTCs	States ICAO	8 ASTCs in the AFI region to date: • EASA ASTC, Nairobi, Kenya;	• Continuous guidance and support by ICAO.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
All States develop sustainable aviation security and facilitation training capacities by the end of 2023. This may include adapting existing courses to the local needs, developing online and blended learning training, and training of course developers.	of the training needs analysis studies done by ICAO/ACI and provide assistance where necessary. b) Support the development and conduct of courses on aviation security and facilitation. c) Provide support to States or sub-regions in need to establish training facilities. d) Provide direct/tailored assistance, where needed, to develop training materials and Training to be conducted for course developers.			AFCAC	• ACSA , ASTC Johannesburg, South Africa; • ASTC ERNAM Dakar; • ASTC Duala, Cameroon; • ASTC FAAN Lagos, Nigeria; • ASTC Egypt; • ASTC Morocco; and • ASTC Tunisia.	

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
	e) Pilot project identified as blended learning. f) Adapting existing courses to the local needs, developing online and blended learning. g) States to promote sub-regional cooperation in the field of aviation security and facilitation training. h) Conduct capacity building seminars/workshops and other advocacy at the Regional and State levels. i) Further enhance the capacity of ASTCs and promote					

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
	cooperation between them including development of training programmes.					
TARGET 10: **All States issue only Machine Readable Passports (MRPs) in conformance to ICAO Doc 9303 – Machine Readable Travel Documents and ensure that all non-MRPs are removed from circulation by the end of 2017.**	a) Urge States that do not yet issue MRPs and States that have not yet removed all non-MRPs from circulation to comply with Article 38 of the Chicago Convention (filling of difference) and develop state action Plans. b) Issue periodic reminders to non-conforming States to inform ICAO of individual actions plan	Not later than 2017	AUC	States ICAO AFCAC	Partly achieved. • Survey results indicate that 18 States are compliant. • According to ICAO records, two (2) States are yet to meet this requirement.	• Remaining States to meet this requirement as a matter of urgency.
TARGET 11: **All States invest in improving basic sources of reliable data, such as**	a) States invest in improving basic sources of reliable data,	2020	AUC INTERPOL	States ICAO AFCAC	Not achieved. • 20 States are compliant.	• States are encouraged to establish links with INTERPOL and provide updates to the border control systems (e.g.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step

civil registration and vital statistics systems, and establish procedures for reporting information about stolen, lost and revoked travel documents for inclusion in the INTERPOL Stolen and Lost Travel Documents (SLTD) database by end of 2020.	such as civil registration and vital statistics systems. b) States to establish procedures, as required, and to provide information to ICAO on action taken towards the implementation of INTERPOL SLTD database.				• INTERPOL delivered presentations at the following forums and informed of the status of their Database of SLTD and associated documents in Africa:
					  o AFI SECFAL Plan SC meeting in July 2018, Niamey, Niger; and
					  o 6th RASFALG-AFI meeting 6-8 November 2018, Cabo Verde.
						integrated systems and automatic checks on INTERPOL databases).

TARGET 12: **At least fifty percent of all States issue only Machine Readable Travel Documents (MRTDs) to refugees and stateless persons by end of 2017 and all States by the end of 2020.**	a) States to establish procedures, as required, and to provide information to ICAO on action taken. b) Provide assistance to States as needed. c) AFCAC to develop questionnaire and	2016-2020	AUC	States ICAO AFCAC	Partly achieved. • A questionnaire was developed and circulated to States, and only twenty (20) States have responded. • Seventeen (17) States meet this requirement.	• Remaining States to meet this requirement and provide update to AFCAC as a matter of urgency.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
	circulate to States to obtain their status on MRTD to refugees and stateless persons.					
TARGET 13: **At least thirty percent of all States join the Public Key Directory (PKD) by the end of 2017, at least 70% by the end of 2020 and all States by the end of 2023.**	a) Perform awareness campaign through AFI SECFAL Plan and related work programme, Windhoek declaration and targets and promote joining ICAO PKD. b) Conduct capacity building seminars/workshops on ICAO TRIP Strategy at Regional and State levels.	2016-2020	AUC	States ICAO AFCAC	12.7 % achieved. ICAO recorded Seven (7) PKD member State to dates: • *Benin* • *Botswana* • *Cote d'Ivoire* • *Mali* • *Morocco* • *Nigeria* • *Seychelles.*	• States to emphasize the importance of joining PKD, by the passport issuing authorities.
TARGET 14: **All States should introduce a provision on Advanced Passenger Information (API) in their respective national**	a) Conduct seminar on API with the assistance of Partners.	Last quarter of 2018	INTERPOL IATA SITA	AFCAC/ICAO	Partly achieved. • 6 States compliant.INTERPOL delivered a presentation on API/PNR at the workshop succeeding the RASFALG-AFI meeting, held 8 November 2018, Cabo Verde.	AFCAC to coordinate another workshop in liaison with the AUC in the area of API.

Target	Activities	Timeline	Partners / Sponsors	Responsible Organisation	Status / Comments	Next Step
legislation that adheres to the internationally recognized (PAXLST) standard for the transmission of API by end of 2020.					AFCAC has requested a workshop on API from AUC, as a follow up to the Joint Action Plan of 2018-2019, and AUC indicated 2019.	

TARGET 15: **All States take appropriate actions to develop their ability to conduct risk assessments to protect civil aviation against any possible threat scenario, including but not limited to person-borne improvised explosive devices (PBIEDs), Man-Portable Air Defence Systems (MANPADS), IEDs in cargo, insider threats, etc. by 2023.**	a) Organize workshop with relevant State authorities, and Partners on MANPADS, land side security, and emerging threats like IEDs in cargo, insider threats, cyber security, etc.	Last quarter of 2018	Partner States/ Organisations ECAC TSA, etc.	AFCAC/ICAO	In progress.
					
					• Seven (7) States meet this requirement and 13 need support.
					• ECAC held workshops that address some of the threats under this Target, as part of the CASE Project:
					  o Security Culture: 17-18 January 2018, Nairobi, Kenya;
					  o Cargo and Mail Security: 6-7 March 2018, Paris, France;
					  o Explosive Detection Dogs (EDDs), 5-6 June 2018 Entebbe, Uganda.
					  o CBRN Threats: 30-31 October 2018, Paris, France.
					  o Behavior Detection: 14-15 November 2018, Accra, Ghana.
						• AFCAC and ICAO to continue to organize workshops and seminars, which are aimed at understanding emerging and current threats.
						
						• The workshops or seminars should take into account New ICAO Standards relating to the threats under this Target.

QUARTER 1: JANUARY – MARCH
QUARTER 2: APRIL - JUNE
QUARTER 3: JULY – SEPTEMBER

QUARTER 4: OCTOBER - DECEMBER